Fecal Microbiota Transplantation for the Treatment of Nonalcoholic Fatty Liver Disease

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Abstract

Nonalcoholic fatty liver disease (NAFLD) is one of the currently most prevalent chronic liver diseases, being histologically categorized into nonalcoholic fatty liver (NAFL), nonalcoholic steatohepatitis (NASH), and nonalcoholic fatty liver cirrhosis. Previous studies have shown that one fourth of the worldwide population have NAFLD, as diagnosed by imaging, with the incidence rate ranging from 19.9 to 29.7 per 1,000 person-years and the prevalence ranging from 13.5% to 31.8%. Following the westernization of diet and lifestyle over the past decades, the prevalence of NAFLD has been increasing, from 18.2% in 2000–2006 to 20.0% in 2007–2009 and 20.9% in 2010–2013.

Nonalcoholic fatty liver disease (NAFLD) is significantly on the rise, which will seriously affect human health; yet, there is no approved drug for the treatment of NAFLD currently. Recently, more and more studies have demonstrated that intestinal bacteria affect liver function through the gut-liver axis, and that the imbalance of intestinal bacterial composition is essential in the pathogenesis of NAFLD. Correcting intestinal bacterial imbalance, therefore, may prevent the development and attenuate the progression of NAFLD. Fecal microbiota transplantation (FMT) is considered the most effective method to correct intestinal bacteria imbalance, but its therapeutic role in NAFLD has not been established. Moreover, the potential molecular mechanisms of FMT for NAFLD have not been elucidated. This review paper summarizes the currently available experimental and clinical research results on the therapeutic effects of FMT for NAFLD. In addition, the underlying molecular mechanisms are proposed, which would provide theoretical support for FMT as a useful modality for the treatment of NAFLD.

Keywords: Non-alcoholic fatty liver disease; Intestinal bacteria; Fecal microbiota transplantation; Gut-liver axis; Molecular mechanisms.

Received: December 23, 2018; Revised: February 28, 2019; Accepted: March 05, 2019

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FMT concept and history

FMT, also known as a stool transplant, is a bacteriotherapy, which is the process of transplantation of fecal bacteria from a healthy individual into a recipient. FMT is an effective strategy to restore intestinal microbial diversity, which is the earliest history of fecal bacteria infusion in medicine can be traced back to ancient China in the 4th century. In ancient Chinese medical literature, the term “Huang Tang (yellow soup)” was used to successfully cure cases of severe food poisoning and diarrhea. However, the treatment role of fecal bacteria did not receive much approval in western countries, and was even considered as a disgusting “folk prescription” until the 21st century.

In 2013, FMT was written into the clinical guidelines for the treatment of refractory and recurrent Clostridium difficile infection in the USA, indicating the leapfrog status of FMT in the history of medicine. Subsequently, FMT has become a hot research field worldwide. FMT is currently considered a new efficacious modality for treating immune and metabolic diseases, such as diabetes mellitus, obesity, and NAFLD. One of the advantages is that the bacteria used for transplantation are viable. The other advantage is that the amount of transplanted bacteria is tremendous. Various studies have demonstrated that the imbalance of intestinal microecological environment can result in or aggravate hepatocyte steatosis and hepatitis. Therefore, FMT can transform the composition of the gut bacteria spectrum, increase the richness of healthy intestinal bacteria, inhibit intestinal bacterial overgrowth and repair intestinal barrier permeability, which subsequently regains the dominant position of healthy intestinal bacteria.

Anatomical structure and physiological function: gut-liver axis

The gut-liver axis is an essential bridge between the intestine and liver, representing the major pathway through which intestinal bacteria exert their effects on the liver function. Anatomically, 70% of the blood supply of the liver comes from the portal vein, and the liver contacts with the intestine mainly by the portal vein system, which makes liver vulnerable to the damage of intestinal bacteria and its endotoxins. Physiologically, on one hand, the metabolites, such as substances broken-down from food, and the secondary bile acids, and enterobacteria in the intestine, can be absorbed into the intestinal vein, flow into the portal vein, and then reach the liver tissue. On the other hand, substances such as the primary bile acid, IgA, and antibacterial molecules produced by the liver, can be secreted into the biliary tract, and arrive in the intestine. Additionally, inflammatory mediators (such as IL-1β, trimethylamine oxide, etc.) and intestinal metabolites (such as acetaldehyde, butyric acid, ethanol, etc.) generated by metabolism in the liver can all infiltrate into the systemic circulation and keep two-way communication.

It is the presence of these anatomical structures and physiological functions that form the gut-liver axis, through which the liver and the intestine functionally interact with or influence each other. When the intestinal flora is disturbed, toxic substances produced by the harmful bacteria enter into the liver through the above pathways, leading to the damage of the liver function. FMT can weaken harmful bacteria in the intestine, decrease the quantity of toxins entering the gut-liver axis, and thus prevent further damage to the liver. Therefore, the gut-liver axis is the critical structure of FMT to treat with NAFLD (Fig. 1).

Experimental evidence

There are several animal experiments that have explored the potential beneficial therapeutic effects of FMT for NAFLD (Table 1). In a study conducted by Le Roy et al., two male C57BL/6J mice, representing high-fat diet (HFD) “responder” and “nonresponder”, respectively, were selected as donors. Both mice had similar body weight. Whereas the “responder” developed hyperglycemia with a high plasma concentration of pro-inflammatory cytokines, the “nonresponder” was normoglycemic with a lower level of systemic inflammation. Then, 8-week-old germ-free mice were transplanted with intestinal microbiota from either the “responder” (n = 22) or the “nonresponder” (n = 18) through intragastric perfusion, and fed the same HFD for 16 weeks. It was found that although all mice developed comparable obesity, fasting hyperglycemia and insulinemia developed in the responder-receivers but not in the nonresponder-receivers. In addition, hepatic macropvesicular steatosis developed in the responder-receivers but not in the nonresponder-receivers. Moreover, the intestinal bacteria were different at the phylum, genera and species levels between the two groups, as determined by 16S rRNA sequencing.

Zhou et al. randomly divided specific pathogen-free male C57BL/6 mice into control, HFD, and HFD+FMT groups (n = 12 in each group). Whereas the control group was fed with a standard diet, and the HFD group was fed HFD for 16 weeks, the HFD+FMT group was fed HFD for 16 weeks and received FMT for the latter 8 weeks. FMT was carried out by transplanting the feces collected from the control group into the intestine by gavage. They found that compared with the control group, intestinal permeability and hepatic steatosis were increased in the HFD group. However, compared with the HFD group, the intestinal permeability and hepatic steatosis were significantly reduced in the HFD+FMT group. These findings indicate that FMT could alleviate HFD-induced steatohepatitis in mice via beneficial regulation of gut microbiota.

Soderborg et al. divided pregnant women into obesity (n = 8) and normal weight (n = 7) groups, and then collected and separately pooled the feces from their 2-week-old infants to feed two groups of GF C57BL/6 mice for 21 days (n = 12 per group). They found that the GF mice colonized with fecal microbes from infants born to obese mothers showed increasing intestinal permeability, hepatic gene expression for endoplasmic reticulum stress and innate immunity, together with histological signs of periportal inflammation and excess weight, as compared with those colonized with fecal microbes from infants born to obese mothers from normal-weight mothers. These findings provide experimental evidence that maternal obesity-associated infant dysbiosis may play a...
observed that the rats fed high-fat, high-glucose/fructose diet developed obesity, insulin resistance, NASH without fibrosis, portal hypertension, intrahepatic endothelial dysfunction, and intestinal microbiota dysbiosis. Transplantation with feces from control diet/water donors significantly reduced portal hypertension, although there was no significant change in NASH. In addition, the weight of the rats was significantly decreased, and the insulin resistance was improved. These findings indicate that FMT is potentially a therapeutic option for portal hypertension in NASH.

Therefore, these experimental findings indicate that intestinal bacteria play an important role in body metabolism, and intestinal bacterial disorder could lead to various metabolic diseases, such as fatty liver, obesity, insulin resistance, etc. FMT, which transplants the healthy intestinal bacteria into the gut of animals with metabolic diseases, can rebalance the intestinal bacteria in situ to improve the metabolic symptoms, and even reduce the fat deposition in the liver.

Clinical research

Up to now, no clinical data have been reported on the efficacy of FMT treatment for NAFLD. Here, we present preliminary data of a pilot prospective study (ChiCTR1800018920, http://www.chictr.org.cn/edit.aspx?pid=31990&htm=4) currently being carried out in our department. The study, which was performed with the approval of the Ethical Committees of the First Affiliated Hospital of Guangdong Pharmaceutical University, aimed to determine the effect of FMT on hepatic steatosis in patients with NASH. In this prospective study, patients with NAFLD were divided into two groups, which were the FMT group and the non-FMT control group. A total of 47 patients with NAFLD were recruited between April 1, 2017 and September 30, 2018: 27 received FMT (200 mL/d for 3 days; FMT group) and 20 who did not receive special treatment for NAFLD served as controls (non-FMT group). Data on blood biochemistry and FibroTouch, which was used to determine fat attenuation parameter (FAP), were recorded from the 27 patients before and 1 month after FMT treatment, as well as for the 20 controls. The average values of FAP before and after treatment are described in Table 2. It was found that the difference in the change of FAP as measured by FibroTouch was significant after treatment with FMT, compared with the control group (F = 4.603, p = 0.038, by analysis of covariance) (Table 2). The values of change in FAP are −9.26 ± 29.31 in the FMT group and 12.21 ± 24.48 in the control group. This finding indicates that FMT indeed attenuates hepatic steatosis.

Therefore, we conclude, from our preliminary and first ever reported data, that FMT improves the fatty liver disease, and the completion of our present study would further consolidate the conclusion. According to clinicaltrials.gov, one clinical trial that evaluates if FMT can alter insulin resistance and reduce the amount of fat deposited in the liver in patients with metabolic syndrome and NAFLD has been recently completed in Canada (NCT02496390). No results have been reported yet. In addition, two more clinical trials that assess the efficacy and safety of FMT for NASH (NCT02469272) and NASH-related cirrhosis (NCT02721264) are currently recruiting subjects.

Proposed hypothesis on the molecular mechanisms of FMT for the treatment of NAFLD

As mentioned above, FMT is a potential modality for the treatment of NAFLD. However, the underlying molecular
mechanisms are still in the exploratory stage and have not been fully clarified. It is known that activation of PAMPs and DAMPs are the main pathogenic pathways leading to liver damage, as described earlier. Based on the existing experimental findings, we would summarize and put forward the hypothesis on the molecular mechanisms by targeting these two pathways (Fig. 1), which are described in detail below.

**Reduce the inflammatory response by transforming the composition of intestinal bacteria, reduce endotoxin-producing bacteria and increase probiotics**

The intestinal bacteria of NAFLD patients often present the characteristics of the increased distribution of Gram-negative bacteria. Lipopolysaccharides (LPS), also known as lipoglycans and endotoxins, are the main component of the cell wall of Gram-negative bacteria. They are the primary pathogenic substances that can increase the permeability of the intestinal barrier and activate the intestinal immune cells' secretion of inflammatory mediators, leading to the occurrence of autoimmune intestinal inflammation. Meanwhile, LPS can be transported into the liver through the gut-liver axis, combine with the receptors (e.g., TLR4, IL-17r) on the immune cell surface in the liver, activate immune cells to secrete inflammatory factors, such as TNF-α, IL-6 and IL-1β, and further initiate a hepatic inflammatory response. Transplantation of the integral fecal bacteria derived from healthy people to overturn the composition of intestinal bacteria in patients would result in reduction of the endotoxin production and endotoxin-mediated inflammatory reaction, and maintain the ecological balance of intestinal bacteria.

Besides, FMT can increase the proportion of probiotics, such as *Lactobacilli*. These probiotics produce some critical metabolites, such as butyrate by fermentation, and participate in the division and decomposition of substances to promote the metabolic balance of the body. Butyrate, which is a short chain fatty acid, is demonstrated to be an immunomodulator and anti-inflammatory substance, and is involved in protecting the intestinal mucosa barrier, regulating immune function and increasing insulin receptor sensitivity. Zhang et al. found that the intestinal inflammatory response in the animal model was significantly improved after transplanting butyrate bacteria extracted from healthy people into the animals with HFD-induced NAFLD, suggesting that butyrate has an anti-inflammatory effect. In addition, butyrate has also been found to be a fundamental substance for maintaining the intestinal barrier and immune regulation. Moreover, probiotics may

### Table 1. Author/publication year | Animal models | Control | Intervention | Results
---|---|---|---|---
Le Roy et al./2013 25 | One C57BL/6j mice (HFD “responder”) | One C57BL/6j mice (HFD “nonresponder”) | The microbiota of “responder” or “nonresponder” transplanted to GF mice (RR = 22, NRR = 18) | RR: developed fasting hyperglycemia and insulinemia, hepatic steatosis NRR: Normal blood glucose
Zhou et al./2017 26 | SPF male C57BL/6 mice: HFD (n = 12) HFD+FMT (n = 12) | SPF male C57BL/6 mice: Normal diet (n = 12) | SPF male C57BL/6 mice divided into three groups and fed with a normal diet or high fat diet respectively for 16 weeks. “HFD+FMT” group has additional therapy of fecal microbiota for 8 weeks. | HFD induced liver steatohepatitis of mice, and FMT attenuated steatohepatitis in mice.
Soderborg et al./2018 27 | GF mice colonized with the microbiota of infant of obesity mother (n = 12) | GF mice colonized with the microbiota of infant of normal weight mother (n = 12) | Randomly selecting four mice from each group, exposure to a WSD. Repeat three times. | GF mice colonized with the microbiota of infant of obesity mother: intestinal permeability↑ hepatic gene expression for endoplasmic reticulum stress↑ excess weight gain
García-Lezana et al./2018 28 | Male Sprague-Dawley rats: HFGFD (n = 6) | Male Sprague-Dawley rats: Control diet (n = 6) | HFGFD and CD rats were transplanted with microbiota from opposite diet donors: heterologous transplant or autologous fecal transplant. | HFGFD rats developed obesity, insulin resistance, NASH with PH, and the HFGFD autotransplanted rats were decrease PH.

Abbreviations: CD, control diet/water; FMT, fecal microbiota transplant; GF, germ-free; HFD, high fat diet; HFGFD, high-fat, high-glucose/fructose diet; NRR, nonresponder-receivers; RR, responder-receivers (the responder developed obesity, fasting hyperglycemia and insulinemia after HFD; SPF, specific pathogen-free; WSD, Western-style diet.

### Table 2. Author/publication year | Animal models | Control | Intervention | Results
---|---|---|---|---
Le Roy et al./2013 25 | One C57BL/6j mice (HFD “responder”) | One C57BL/6j mice (HFD “nonresponder”) | The microbiota of “responder” or “nonresponder” transplanted to GF mice (RR = 22, NRR = 18) | RR: developed fasting hyperglycemia and insulinemia, hepatic steatosis NRR: Normal blood glucose
Zhou et al./2017 26 | SPF male C57BL/6 mice: HFD (n = 12) HFD+FMT (n = 12) | SPF male C57BL/6 mice: Normal diet (n = 12) | SPF male C57BL/6 mice divided into three groups and fed with a normal diet or high fat diet respectively for 16 weeks. “HFD+FMT” group has additional therapy of fecal microbiota for 8 weeks. | HFD induced liver steatohepatitis of mice, and FMT attenuated steatohepatitis in mice.
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**Table 2. Effect of FMT on fat attenuation in patients with nonalcoholic fatty liver disease**

<table>
<thead>
<tr>
<th>Variable</th>
<th>Stage</th>
<th>FMT (n = 27), Mean ± SD</th>
<th>Controls (n = 20), Mean ± SD</th>
<th>F</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>FAP value</td>
<td>Before</td>
<td>279.37 ± 34.14</td>
<td>244.70 ± 41.36</td>
<td>256.91 ± 45.56</td>
<td>4.603</td>
</tr>
<tr>
<td>Change in FAP</td>
<td>After–before</td>
<td>−9.26 ± 29.31</td>
<td>12.21 ± 24.48</td>
<td>4.603</td>
<td>0.038</td>
</tr>
</tbody>
</table>

The data are derived from a prospective clinical study currently being conducted at the First Affiliated Hospital of Guangdong Pharmaceutical University, Guangzhou, China. Abbreviations: SD, standard deviation. FAP, fat attenuation parameter; FMT, fecal microbiota transplantation. Comparison was between the FMT group and the non-FMT group.
also produce many other metabolic substances to prevent the body from damage. Therefore, FMT can transform the composition of intestinal flora, increase the number of probiotics and their beneficial metabolites to achieve the goals of improving the intestinal barrier function, decreasing the pathogenic factors and reducing the inflammatory response in the liver.

**Repair the intestinal mucosa mechanical and immune barriers by increasing the production of tight junction proteins**

The intestinal mucosa barrier can effectively stop harmful substances (bacteria or toxins) from entering into tissues and the blood circulation. If the tight junction between the intestinal epithelial cells is destroyed, the intestinal permeability will increase and result in LPS penetrating the submucosal blood vessel, ultimately causing a liver lesion. In an animal study, Zhou et al. transplanted the feces taken from normal mice into mice with HFD-induced NAFLD. After 8 weeks of the treatment, the beneficial bacteria and the levels of intestinal tight binding proteinZO-1 and butyrate were increased in the intestine of NAFLD mice, and the serum level of LPS was decreased. In addition, the accumulation of lipid and inflammatory factors in liver were significantly reduced after FMT. These findings suggest that FMT could reduce the intestinal permeability and repair mechanical barriers in the gut by increasing the expression of tight junction proteins.

Additionally, the intestinal mucosal immune barrier may also be enhanced by increased butyrate production after FMT, which increases the production of butyrate-producing bacteria. An animal study by Smith et al. showed that the number of Treg cells was increased and the anti-inflammatory factor IL-10 was activated in the intestine of sterile mice fed with sodium butyrate. Other studies have shown that butyrate also releases receptor of pro-inflammatory cytokines, inhibiting the intestinal inflammatory response and maintaining the intestinal immune balance, probably by modulating the activity of related inflammatory pathways, such as G-protein coupled receptor, NF-κB, and JAK/STAT. Therefore, FMT would be the therapeutic approach for NAFLD by enhancing the intestinal mechanical barrier and immune barrier.

**Increase the expression of insulin receptors in the liver and improve insulin resistance by increasing butyrate production**

Insulin resistance is one of the mechanisms responsible for lipid metabolic disorder in the liver, by increasing lipid deposition, aggravating lipid degeneration and causing oxidative stress in the liver. Therefore, increasing the expression of the insulin receptor and the sensitivity of insulin can improve the lipid deposition of hepatocytes and reduce inflammation caused by lipid oxidative stress. Jin et al. showed that the expression of the insulin receptor in the liver was significantly upregulated, and insulin sensitivity and hepatic steatosis was significantly improved after administration of microbial butyrate into mice with NASH. These findings, in combination with the fact that FMT increases the proportion of intestinal butyrate-producing bacteria, as mentioned above, further indicate that FMT can increase the production of butyrate and subsequently promote the expression of the insulin receptor.

Furthermore, Zhou et al. also found that the intestinal butyrate was significantly increased after transplantation, which was associated with decreased serum levels of a series of inflammatory factors (e.g., TNF, IL-1, MCP-1, IL-6) and intrahepatic levels of triglyceride and cholesterol. The PPAR-α mRNA, from a gene that mainly participates in fatty acid oxidation, in the liver were significantly upregulated in the HFD group compared with the control group and significantly downregulated after FMT intervention. The protein level of the insulin receptor was upregulated, following a significant increase of butyrate concentration in the cecal content after FMT. Therefore, FMT can improve the expression of the insulin receptor in the liver by increasing the production of butyrate bacteria, acting on the improvement of insulin resistance and reducing liver cell fat deposition to maintain lipid metabolic balance.

**Attenuate the activation and migration of DAMPs by downregulating their receptors**

DAMPs are host biomolecules that can initiate and perpetuate the primary mechanism of sterile inflammation and are released to the damaged site during cell or tissue injury; they include the high mobility group box 1 protein, S100 protein, nuclear DNA, etc.

In NAFLD, DAMPs are secreted from the activated immune cells, whereas hepatic immune cells receive the signals of cell death or intestinal injury (e.g., LPS binding to receptors on the surface of liver immune cells), migrate to the position of inflammatory lesions, and then bind to their corresponding receptors to aggravate the intrahepatic inflammatory response. As long as the lesion signal is not relieved, its inflammatory effect is sustained, which is also one of the crucial links in the pathogenesis of NAFLD. DAMPs combine with the receptor of advanced glycation end products or toll-like receptor family members (including the TLR4 receptor), participate in the inflammatory response to the intestine and subsequently are involved in the development of NAFLD. FMT can both alleviate the production of LPS and the secretion of DAMPs. Moreover, FMT can downregulate the MyD88 protein of TLR4 receptors, inhibit the expression of TGF-1 and α-SMA, and then reduce the number of receptors that can bind to DAMPs.

In other words, FMT can reduce or block the continuous inflammatory infiltration in the liver by downregulating the receptors of DAMPs and subsequently attenuating their activation and migration.

**Prospects**

FMT is a medical breakthrough in recent years but it is currently still in the exploratory stage. The clinical efficacy of FMT for NAFLD needs to be further explored and confirmed in well-designed clinical studies. Moreover, the proposed molecular mechanisms are worth being investigated or elucidated. Although several critical issues, including quality of the donors, consumer acceptance, and ethical, technological and regulatory considerations, exist prior to widespread implementation and application in clinical practice, we are confident that these issues can be gradually resolved with the promising efficacy of FMT for the treatment of NAFLD.

**Conclusions**

FMT improves the physiological and biological parameters and symptoms of NAFLD, as shown in animal experiments and our preliminary clinical study. It is proposed that FMT may treat NAFLD by reducing the inflammatory response, repairing intestinal mechanical and immune barriers, upregulating insulin receptors and improving insulin resistance and attenuating the activation and migration of DAMPs.
Acknowledgments

The authors would like to thank Quanzenh Chen, Zelin Wu, and Sihang Lin for their assistance in data collection. This work was supported by the Science and Technology Planning Project of Guangdong Province, China (2015A030302085 and 2016A020212022 to Y.C.).

Conflict of interest

The authors have no conflict of interests related to this publication.

Author contributions

Study design (XXH, YC); writing of the article (LFX); data acquisition, data analysis and interpretation (WHL, LHW); editing, reviewing, and final approval of the article (YC, HHX).

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