HIV-1 Drug Resistance and Genetic Diversity among Vertically Infected Cameroonian Children and Adolescents

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Abstract

Background and objective: HIV-1 vertically infected children stand a high risk of HIV-1 drug resistance (HIVDR), especially after failure to prevent of mother to child transmission (PMTCT) and pediatric antiretroviral therapy (ART). Thus, surveillance of HIVDR might contribute in delineating optimal pediatric regimens. The objective of this study was to evaluate HIVDR and subtype distribution among ART-naive and ART-failing children.

Methods: A study was conducted throughout 2017 amongst 102 children/adolescents at the “Chantal BIYA International Reference Centre” (CIRCB) in Cameroon. HIVDR testing was performed in protease-reverse transcriptase (RT) region and interpreted using the Stanford HIVdbv8.5; subtyping was performed using MEGA v7.0.26; and data were analyzed using Epi-info v71.3.3, with p < 0.05 considered statistically significant.

Results: Sequences were generated from 63 participants (19 ART-naive, 44 ART-failure); the median-age was respectively 6 [IQR:3.5–11] and 144 [IQR:116.25–185] months for ART-naive and ART-failing (median ART-duration: 23.55 [IQR:7.61–60.91] months, 63.6% receiving non-nucleoside RT inhibitors [NNRTI]-based regimens). Among ART-naive children, overall-HIVDR was 52.6% (10/19), with 31.6% (6/19) to NNRTI, 26.3% (5/19) to nucleoside RT inhibitors (NRTI) and 15.8% (3/19) to ritonavir-boosted protease inhibitor (PI/r).

Conclusions: The high rates of HIVDR, in both ART-naive and ART-failing children...
i.e. and ART-failing children, suggest using genotypic HIV-1 drug resistance testing for selecting optimal pediatric ART-regimens. Multi-drug resistance is concerning among children failing ART and prompts the need of new drugs (integrase inhibitors, darunavir/ritonavir) for optimal pediatric ART management.

Introduction

In spite of the 70% decline in the overall incidence of pediatric HIV infections between 2000 and 2015, an estimated 180.000 children became infected worldwide in 2017, giving a total of 1.8 million children (<15 years old) living with HIV globally, of whom 90% live in sub-Saharan Africa (SSA).3–5 Even though there is progress in the universal coverage of pediatric antiretroviral therapy (ART) in SSA, risks of pediatric HIV-associated mortality remain concerning in this setting due to, but not limited to, delayed initiation and suboptimal monitoring of ART, added to the known high viral load, the immunological immaturity at early age and rapid disease progression among infected children.4–6

Though progress in the HIV prevention of mother to child transmission (PMTCT) services, ranging from options A (i.e. azidothymidine [AZT]), B (i.e. ART during pregnancy and breastfeeding) to the current B+ (i.e. lifelong ART regardless of clinical and immunological status), represents the cornerstone in eliminating new cases of pediatric infections, rates of HIV vertical transmission are still beyond five percent in several PMTCT high priority countries, including Cameroon.4–7 Furthermore, risks of both pretreatment and acquired HIV drug resistance (HIVDR) become more threatening for every infected child in the frame of failure to current PMTCT strategy and pediatric ART services respectively.8–10

Of note, one in two (50%) infected children harbored pretreatment HIVDR and treated children experience early ART failure with acquired HIVDR.10 Thus, pediatric HIVDR may represent a major hurdle in achieving the third pillar of the 90-90-90 targets in children.10 This hypothesis is plausible in West and Central Africa (WCA) where a total of 2.4 million people, including PMTCT-attendees, are receiving ART (i.e. 40% [25–55%] coverage) and the overall viral suppression rate is still around 70%, with lower outcomes in the pediatric populations.6,10

As in several WCA countries, the therapeutic management of pediatric HIV in Cameroon follows guidelines from the World Health Organization (WHO).11 Of note, initial (first-line) ART depends on PMTCT-exposure: either (a) a ritonavir-boostered protease-inhibitor (PI)-based for PMTCT-exposed HIV-infected children below three years of age, or (b) non-nucleoside reverse transcriptase inhibitor (NNRTI)-based regimens for peers without PMTCT-exposure and for children aged three years and above (regardless of PMTCT-exposure). In the advent of ART failure, two consecutive viral loads of at least 1,000 RNA copies/mL, therapeutic ART switch is recommended without referring to genotyping for optimal ART selection.8–10,12–14 Thus, in a context with evolving PMTCT interventions, poor adherence to ART and limited access to ART monitoring, suboptimal therapeutic response might be unwavering in sustaining the risks of HIVDR among children initiating or failing ART.15,16

In Cameroon, the rate of HIV MTCT is still beyond 5%;17 ART coverage in children is still below 50%;18 viral suppression is around 60–80% for children and slightly above 50% for adolescents.19,20 Prior to wide scale-up of PMTCT, pretreatment HIVDR was low (4.9%) while acquired HIVDR was very high (90%) following ART failure at a median of two years in this country.21,22 After the wide coverage of PMTCT, the increasing access to ART for children and adolescents and the fast growing genetic diversity of HIV-1 in the country, it is then crucial to set-up bold and innovative approaches in the pediatric AIDS response tailored to the specific needs of the local epidemic.23

With the goal to generate new findings, in the era of option B+, towards informed decision-making for more efficient ART strategies and therapeutic monitoring in children, we sought to determine the rates of pre-treatment HIVDR (PDR), acquired HIVDR (ADR) and the HIV-genetic variability among vertically infected Cameroonian children.

Materials and methods

Study design, settings and population

A cross-sectional and analytical study was conducted throughout the year 2017 in a population of 102 HIV-infected children and adolescents (33 ART-naïve and 69 ART-experienced) from four regions of Cameroon: Center, Littoral, West and North-West regions.

Laboratory analyses for HIV-1 early-infant diagnosis (EID), viral load measurement, CD4 T cells enumeration and HIVDR testing were carried out at the Chantal BIYA International Reference Centre for HIV/AIDS prevention and management (CIRCB), located in Yaoundé, Cameroon.

The CIRCB is a government institution of the Ministry of Public Health dedicated to HIV research and patient monitoring in several aspects, among which: (a) HIV early infant diagnosis in the frame of the national PMTCT program; (b) diagnosis of co-infections with HIV; (c) viral load measurement; (d) CD4 and CD8 T lymphocytes counts; (e) biochemical and hematological tests for drug safety; (f) genotypic HIVDR testing (GRT) at subsidized costs; with quality control programs conducted in partnership with Quality Assessment and Standardization of Indicators (QASI) and other international agencies (http://www.circeb.cm/btc_circeb/web/).

Ethical considerations

Administrative authorizations were issued by the CIRCB and the Ministry of Public Health (N°2014/05/631); ethical clearance for the study was obtained from the National Ethics Committee for research on human health (Authorization Number: N°2017/03/877/CE/CNERSH/SP); written informed consent for each participant was obtained from parents or legal guardians; data were protected by the use of specific identifiers for purpose of confidentiality and stored in a password encrypted computer; all laboratory results were freely returned to participants for benefit in their personal clinical management.

Enrolment of study participants

On one hand, infants from the aforementioned clinical sites, referred to CIRCB for HIV-1 EID and whose result was HIV positive, were included in the PDR study arm. On the other hand, ART-experienced children, with a suspicion of ART failure from one of the clinical sites, were also referred to CIRCB for GRT in case of a
confirmed virological failure (VF).

CD4 cell count and viral load measurement

CD4 cell count was performed using the BD FACS Count system as per the manufacturer’s instructions (https://www.bdbiosciences.com/documents/BD_FACSCount_Brochure.pdf).

HIV-1 RNA quantification was performed on plasma samples using the Abbott m2000rt Real Time HIV-platform (Abbott Molecular Inc. 1300 E. Touhy Ave. Des Plaines, IL 60018 200680-105; USA) according to manufacturer instructions (www.abbottmolecular.com/products/infectious-diseases/realtime-pcr/hiv-1-assay).

Briefly, a protocol using 0.6 mL of plasma was used for RNA extraction, followed by a simultaneous amplification and detection on a real-time polymerase chain reaction (RT-PCR). The lower and upper detection threshold of the assay was respectively <40 and >10,000 HIV-1 RNA copies/mL.

HIV-1 genotypic drug resistance testing

HIV-1 GRT was performed on plasma samples following an in-house protease-reverse transcriptase genotyping assay.24 Briefly, RNA was extracted after concentration from 1 mL of plasma aliquots using the QiAamp Viral RNA mini kit (Qiagen, Milan, Italy), according to the manufacturer’s protocol. RNA was then retrotranscribed and amplified using the kit One-Step Invitrogen (Foster City, CA) (SuperScript One-Step for long templates RT-PCR) and 2 different sequence-specific primers [«5′-GAC AGG CTA ATT TTT TAG GG-3′» (2075-2094) and «5′ -GAT AAA TTA ATT TTT TAG GG-3′» (2075-2094)]

A second round PCR (semi-nested PCR) was performed with another set of two primers for 35 cycles [«5′-GAC AGG CTA ATT TTT TAG GG-3′» (2075-2094) and «5′-CCT TGT TCC TGT TCT GCT TCT GCT ATT G-3′« (3527-3547) pol] After PCR products purification using Amicon kits, a direct sanger-sequencing reaction was then performed using 8 overlapping primers [«5′-AGC AGA CCA GAG CCA ACA GC-3′» (2140-2159 gag), «5′-CCA TCC ATT CCT GGC TTT TAT AAT-3′» (2582-2602 pol), «5′-CAG GAA TGG ATG GCC CCA AA-3′» (2590-2609 pol), «5′-TTG TAC AGA AAT GGA AAA GGA AGG-3′» (2660-2683 pol), «5′-CCC TTG GGA AAG CAC ATT GTA-3′» (2985-3004, with an insertion), «5′-GCT TCC ACA GGG ATG GAA A-3′» (2993-3011 pol), «5′-CTA TTA AGT CTT TGG ATG GGT CA-3′» (3506-3528 pol) and «5′-CCT TGT TGG ATG GGT GA-3′» (3527-3547 pol)] and the final product was purified using Sephadex G-50 fine powder.

HIV-1 sequence analysis

Sequence data were obtained after capillary electrophoresis on a Genetic Analyzer (Applied Biosystems 3500; Life Sciences, Foster City, CA; 08 capillaries), and complete sequences encompassing the pol region of interest (~1,297 nucleotides) and corresponding to the entire protease region and the first 300 amino acids of the reverse transcriptase open reading frame) were assembled and manually edited using Seqscape software v2.7 (Applied Biosystems, Foster city, CA).

HIV drug resistance mutations and interpretation

Sequences generated were analyzed for DR mutations (DRMs) using the Stanford University HIV Drug Resistance Database (http://www.hivdb.stanford.edu) and reported separately for ART-naïve and ART-failing children. In each study group, frequency and types of HIVDR mutations were reported. Sequences having a mixture of wild-type and mutant residues at single drug-resistance-associated positions were also considered to have the mutants at those positions. The nucleotide sequences from this study are submitted to a public repository under the following accession numbers: GenBank MK867695-MK867757.

Phylogeny analysis

All the generated sequences were aligned in BioEdit version 7.2.6 (Tom Hall, Raleigh, NC) using CLUSTAL W, and compared with reference sequences for the major HIV-1 subtypes and circulating recombinant forms (CRFs), available in the Los Alamos database (http://www.hiv.lanl.gov), gaps were then removed from the final alignment. The phylogenetic tree was inferred using Maximum likelihood method on the MEGA software v7.0.26 for both subtyping and to ensure that there was no cross-contamination of samples. The statistical robustness and reliability of the branching order within the phylogenetic tree were confirmed through a bootstrap analysis using 1,000 replicates on a maximum likelihood tree obtained by molecular phylogeny. Recombination among HIV-1 clades was assessed by HIV BLAST (https://www.hiv.lanl.gov/content/sequence/BASIC_BLAST/basicblast.html), REGA v3 (REGA Institute, KU Leuven, Belgium), COMET (https://comet.lhluindex.php?cat=hiv1), RDP v4 (Oxford, England) and SplitTree4 v4.14.6 (Tuebingen University, Germany; Otago University, New Zealand).

Statistical analysis

Data were analyzed using the Epi-info v7.1.3.3, Microsoft Access and Excel 2013. Parametric and non-parametric tests were used for both univariate and multivariate analysis. Fisher exact test, Chi square test and Spearman correlation were used to describe the associations between our variables with p-values <0.05 considered statistically significant.

Results

Sequencing performance and study enrollees

Out of the 102 children/adolescents enrolled in the study, low-level viremia (<3Log RNA copies/mL) was found from 33 samples, likely due to either prophylactic effect of PMTCT-exposure (n = 13) or the therapeutic effect of ART (n = 20). Of the 69 patients with eligible viremia for sequencing (≥3Log RNA copies/mL), 63 completed sequences were generated, giving a sequencing performance of 91.3%, as shown in the flow chart (Fig. 1). Thus, only the 63 patients with available sequences (accession numbers MK867695-MK867757) were included as study participants in the final dataset for analysis.

Characteristics of study participants

Of the 63 study participants considered for analysis, the sex distribution was similar (52.4% boys and 47.6% girls) and their age

DOI: 10.14218/ERHM.2019.00031 | Volume 5 Issue 2, June 2020
Among HAART-naive participants (n = 19), the median age [interquartile range, IQR] was 6 [3.5–11] months; the CD4 count ranged from 12–2,897 cells/mm$^3$ and the viral load ranged from 3,855–10,000,000 HIV-1 RNA copies/mL. According to PMTCT prophylaxis, seven were reported not to have being exposed to antiretrovirals (ARV), while 12 were reported exposed to ARV prophylaxis of which nine exposed to single dose nevirapine (sd-NVP), two exposed to AZT and one exposed to both NVP and AZT (see Table 1).

Among participants on ART experiencing VF, the median age [IQR] was 144 [116.25–185] months; CD4 count ranged from 2–3,436 cells/mm$^3$ and the plasma viral load from 1,280–6,664,000 copies/mL. The median duration on ART [IQR] was 23.55 [7.61–60.91] months, with 63.6% (28/44) receiving an NNRTI-based regimen versus 36.4% (16/44) receiving a PI/r-based regimen.

### Pre-treatment HIV-1 drug resistance in the study population

Among HAART-naïve participants, the overall threshold of PDR was 52.6% (10/19). Considering reports of PMTCT-exposure, 50% (6/12) of participants reported to be exposed to ARV for PMTCT prophylaxis harbored PDR mutations while 57.14% (4/7) of those reported without any ARV exposure harbored PDR; without any statistically significant difference between the two groups (p = 0.57).

The rate of PDR varied by ARV drug class, ranging from 31.6% (6/19), 26.3% (5/19) and 15.8% (3/19) resistance to NNRTI, NRTI to PI/r respectively; which were all far above the WHO’s threshold of 10% PDR (Fig. 2). Interestingly, multi-drug resistance was observed in 21.05% (4/19) of participants: two being resistant to both NRTI and NNRTI, one to both NRTI and PI/r, and one to both NNRTI and PI/r.

Among the six participants harboring major NNRTI-DRMs, mutation Y181C was the most frequent (5/6) in RT-region, conferring high levels of resistance to both first and second generation NNRTIs. Of the five participants with major NRTI-DRMs, L74V/I was the most frequent (2/5) in the RT-region, conferring resistance to abacavir (ABC) and in lesser extend to tenofovir (TDF). Of the three participants with PI/r-DRMs, equal frequencies (1/3) of the mutations M46I, V32I, and D30N were found in the PR-region, conferring intermediate levels of resistance to ritonavir boosted lopinavir, ritonavir boosted atazanavir and even ritonavir boosted darunavir (DRV/r) to certain extent.

### Acquired HIV drug resistance in the study population

Among participants experiencing VF, the overall rate of ADR was 97.7% (43/44), stratified by drug class as follows: 95.4% (42/44), 90.9% (40/44) and 18.2% (8/44) resistance respectively to NNRTI, NRTI and PI/r. Regarding multi-drug resistance, dual-class of ADR (NNRTI and NRTI) was 85.71% (24/28) versus 93.75% (15/16) among those on first-line versus second-line ART (p =
0.638); while triple class of ADR (NNRTI, NRTI and PI/r) was 0% (0/28) versus 50% (8/16) respectively among those on first-line versus second-line ART (p < 0.0001).

Among the 42 participants harboring NNRTI-DRMs, K103NS (19/42) and Y181C (16/42) mutations were the most frequent; among the 40 participants harboring NRTI-DRMs, M184VI was the most frequent (34/40), followed by Thymidine Analogue Mutations (T215YF [25/40], and M41L [18/40]); among the eight participants with PI/r-DRMs, M46IV (6/8), V82A (3/8) and L76V (3/8) mutations were the most frequent.

**Potential efficacy of antiretroviral drugs for clinical management**

According to ART-regimens and based on the genotypic susceptibility scores, the predictive efficacies of drug class ranged from 57.89% for NNRTIs to 89.47% for PI/r among HAART-naïve participants; from 0.00% for NNRTIs to 100.00% for PI/r among those failing first-line ART; and from 0.00% for NNRTIs to 50.00% for PI/r among those failing second-line ART (Fig. 3). Of note, only those failing second-line had a considerable reduced activity of PI/r (50%), with about 18.75% (3/16) showing a reduced activity of DRV/r.

**HIV-1 genetic diversity in the study population**

In the entire study population, 13 different viral strains were found (seven in the populations of HAART-naive and eight in the population of ART-failure), with a prevailing circulating recombinant form (CRF), CRF02_AG (68.2%), followed by G (9.5%), F2 (4.8%) and other subtypes (17.5%), as shown in Figure 4.
The genetic diversity had no major effect on the presence of DRMs \((p = 0.99)\). Table 2 shows the similar distribution of ADR between CRF02_AG versus non-AG infected participants.

### Discussion

As pediatric HIV infection tends to decrease overtime, following the success of PMTCT option B+ in resource-limited settings (RLS), efforts should be dedicated for a close monitoring of HIVDR among any infected child. In this study, we generated findings on HIVDR among children naïve to HAART and those failing ART, as an attempt to contribute for the better management of children who become infected in the era of option B+ in Cameroon, as well as in similar countries in RLS.26,27

Among HAART-naïve infants \((i.e.\) all aged below one year), half harbored PDR. This therefore suggests that about half of children infected with HIV may harbor a resistant virus. Though limited in sample size, our findings are in accordance with the recent reports indicating that about five out of ten HIV-infected infants carry resistant viruses.26,28 This high rate of PDR is far different from previous reports in the same country (4.9% around 2010),29 and beyond the WHO’s threshold for PDR,26 a disparity

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Table 2. Effect of HIV-1 genetic diversity on acquired drug resistance

<table>
<thead>
<tr>
<th>Rates of DRMs</th>
<th>CRF02_AG</th>
<th>Non-CRF02_AG</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall rate</td>
<td>96.88% (31/32)</td>
<td>100% (12/12)</td>
<td>0.53</td>
</tr>
<tr>
<td>NNRTI-DRMs</td>
<td>93.75% (30/32)</td>
<td>100% (12/12)</td>
<td>0.52</td>
</tr>
<tr>
<td>NRTI-DRMs</td>
<td>86.63% (29/32)</td>
<td>91.67% (11/12)</td>
<td>0.70</td>
</tr>
<tr>
<td>PI-DRMs</td>
<td>15.63% (5/32)</td>
<td>25% (3/12)</td>
<td>0.37</td>
</tr>
</tbody>
</table>

CRF, circulating recombinant form; DRMs, drug resistance mutations; HIV-1, human immunodeficiency virus type 1; NNRTI, non-nucleoside reverse transcriptase inhibitors; NRTI, nucleoside reverse transcriptase inhibitors; PI, protease inhibitors.
mainly attributed to the scale of PMTCT interventions. Thus, with increasing access to option B+, PDR would be present in more than half of HIV-positive infants, especially with the use of next generation sequencing platforms. Surprisingly, PDR was also high among children reported to have no exposure to ARV. This is likely due to selection bias attributed to inadequate maternal recall of previous PMTCT interventions (which could have been resolved by measuring for drug concentration), as previously reported around 25% by Chakanyuka-Musanhau et al., in 2013. Therefore, without timeous interventions, PDR to NNRTI, NRTI and PI/r would further increase overtime among children. This therefore calls for considerations to either providing HIVDR testing for selecting active ingredients (preferred wherever feasible) or ensuring an effective use of more potent ARV drugs (including raltegravir) as initial therapy for newly diagnosed HIV positive infants.

Among children failing ART, treatment failure occurred about two years after therapeutic initiation (ranging between one to five years for the majority). This confirms the event of early ART failure among children and the need for timeous viral load monitoring, as previously reported in the several settings of the same country. This early ART failure associated with high rate of HIVDR should be addressed critically, as this may indicate a rapid selection of DRMs pre-existing as minority variants upon pharmacological pressure and/or compliance challenges as children grow towards adolescence.

The high burden of ADR among children failing the first- and second-line regimens reveal almost complete ineffectiveness of NNRTIs and NRTIs, which are low genetic barrier drugs, contributing to early selection of DRMs among children, especially in the frame of poor compliance to ART regularly reported among children and adolescents. Interestingly, dual-class of resistance to NNRTI and NRTI remains very high (>80%) among children failing first- and second-line ART, with very low rate resistance to PI/r. This underscores the benefit of using PI/r-based regimens or other NNRTI-sparing ART combinations as initial or first-line ART among children diagnosed HIV positive. Of relevance, 50% of children on second-line have DRMs to PI/r, indicating that about half of children experiencing VF on PI/r-based second line are in need of third-line ART regimens containing integrase strand-transfer inhibitors (dolutegravir, raltegravir) and/or DRV/r. However, with about one fifth risk of reduced efficacy of DRV/r (~19%) in our study) following exposure to the classical PI/r-based regimens, alongside the well documented high risk of inactive RTIs, close viral load testing and GRT should be strongly recommended prior to switching to third-line ART even in children and adolescents.

Despite the broad viral genetic diversity among Cameroonian populations, there was no considerable effect of the major circulating subtype (i.e. CRF02_AG) on emerging DRMs. Therefore, although HIV variability may be associated to viral fitness, disease progression and drug susceptibility, our findings reveal that subtype disparity might not have a major clinical relevance in the Cameroonian pediatric context at the moment.

Our study has some limitations. Firstly, the limited sample size in HAART-naive children diagnosed HIV-positive in our EID program (due to declining vertical transmission with PMTCT success and treat all implementation) restricted the breadth of PDR appraisal and the true effect of PMTCT (due to recall bias). Thus, clinicians should not focus on maternal recall on PMTCT-exposure in guiding pediatric ART regimens. Furthermore, testing for drug concentration (not performed in our study) for uncertain exposure to PMTCT would help in screening children with potential wild type viruses at ART initiation.

Future directions

For a better understanding of the impact of PDR in pediatric populations, further investigations will focus on assessing drug resistance patterns in a larger population, considering the selection of mutations according to HIV-1 subtypes/recombinants, maternal ART history and feeding options throughout the PMTCT cascade care. Assessing the effect of infant age on the trends of PDR would also help in timing pediatric ART regimen. Lastly, surveys of acquired HIVDR to newer drugs will help in tailoring future optimal second/third-line pediatric regimens.

Conclusions

In a nutshell, HIVDR appeared very high among Cameroonian children. The high burden of PDR detected at infancy could be due to scale-up in PMTCT. The early VF (~24 months of pediatric ART) was associated to the presence of ADR, with multidrug resistance to RTIs that requires innovative drugs (integrase strand transfer inhibitor, preferably and DRV/r). This advocates for GRT to guide initial ART and to select for optimal ART regimens when switching to subsequent regimens in children. The broad HIV-1 genetic variability does not appear with any potential clinical relevance on the emergence of DRMs.

Acknowledgments

We are appreciative to our institutional staff (CIRCB) who participated in the enrolment and in sample processing. We are thankful to the children and adolescents, their parents or guardian for their consents. We also acknowledge Ghylaine Bruna Djeunang Dongho, Armand Tiotsia Tsapi and Irenée Domkam for their comments and remarks in the data analysis and interpretation of findings.

Funding

The present study was supported the funds of the Chantal BIYA International Reference Centre for research on HIV/AIDS prevention and management (CIRCB), under the CIRCB annual budget 2017-2018.

Conflict of interest

The authors have no conflict of interests related to this publication.

Author contributions

Conceived the study and designed the experiment (BD, JF, ESN), acquired the data (BD, JF, ESN, DT, GT), analyzed and interpreted the data (BD, JF, ESN, LCMM, NK, SMS, SCB, AEN, MSS, CN, PNK, FN, VC, CFP, AN); drafting of the manuscript (BD, JF, ESN), critical revision of the manuscript for important intellectual content (DT, MSS, GT, GB, LCMM), study supervision (CN,
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